SEC Form 3 FORM 3

# UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

### OMB APPROVAL

3235-OMB Number: 0104

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*       2. Date of Requiring (Month/Date)         Obenshain Andrew       01/11/20		Statement ly/Year)	3. Issuer Name and Ticker or Trading Symbol bluebird bio, Inc. [ BLUE ]					
(Last) (First) (Middle) C/O BLUEBIRD BIO, INC. 60 BINNEY STREET (Street) CAMBRIDGE MA 02142 (City) (State) (Zip)	_		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give Other (specify title below) below) President of SGD		)wner (specify	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>		
	rable I - Noi	n-Derivati	ive Securities Benefic	cially O	wned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or h (I) (Instr	Direct O ndirect		lature of Indirect Beneficial nership (Instr. 5)	
Common Stock			<b>596</b> <sup>(1)</sup>	I	)			
Common Stock			<b>994</b> <sup>(2)</sup>	I	)			
Common Stock			2,625 <sup>(3)</sup>	I	)			
Common Stock			<b>36</b> 5 <sup>(4)</sup>	I	<b>)</b>			
Common Stock			10,500 <sup>(5)</sup>	I	<b>)</b>			
Common Stock			<b>7,168</b> <sup>(6)</sup>	I	<b>)</b>			
Common Stock			1,670 <sup>(7)</sup>	D				
(e.			e Securities Beneficia nts, options, convert					
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conversion or Exercise	se Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
Stock Options (Right to buy)	(8)	12/01/2026	Common Stock	18,000	68.65	D		
Stock Options (Right to buy)	(9)	02/01/2028	Common Stock	9,500	205.25	D		
Stock Options (Right to buy)	(10)	02/01/2029	Common Stock	7,950	134.63	D		
Stock Options (Right to buy)	(11)	03/02/2030	Common Stock	7,000	73.84	D		
Stock Options (Right to buy)	(12)	11/02/2030	Common Stock	21,000	53.09	D		

1. Restricted stock units for common stock vest over a four-year period at a rate of 25% on January 4, 2019, 25% on January 4, 2020, 25% on January 4, 2021, and 25% on January 4, 2022.

2. Restricted stock units for common stock vest over a four-year period at a rate of 25% on January 4, 2020, 25% on January 4, 2021, 25% on January 4, 2022, and 25% on January 4, 2023.

3. Restricted stock units for common stock vest over a four-year period at a rate of 25% on January 4, 2021, 25% on January 4, 2022, 25% on January 4, 2023, and 25% on January 4, 2024.

4. Restricted stock units for common stock to vest on a monthly basis over a 12-month period with a vesting commencement date on May 1, 2020.

5. Restricted stock units for common stock vest over a four-year period at a rate of 25% on November 2, 2021, 25% on November 2, 2022, 25% on November 2, 2023, and 25% on November 2, 2024.

6. 379 shares were acquired under the bluebird bio, Inc. employee stock purchase plan.

7. Restricted stock units for common stock will fully vest on June 3, 2021.

#### 8. The options are fully vested.

9. This option vests over a four-year period, at a rate of twenty-five percent (25%) on January 4, 2019, and in 36 equal monthly installments.

10. This option vests over a four-year period, at a rate of twenty-five percent (25%) on January 4, 2020, and in 36 equal monthly installments.

11. This option vests over a four-year period, at a rate of twenty-five percent (25%) on January 4, 2021, and in 36 equal monthly installments.

12. This option vests over a four-year period, at a rate of twenty-five percent (25%) on November 2, 2021, and in 36 equal monthly installments.

**Remarks:** 

#### /s/ Helen C. Fu, Attorney-01/13/2021

\*\* Signature of Reporting Person

in-Fact

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

### LIMITED POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Jason F. Cole, Helen Fu and Katy Burnett, and each of them individually, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

(1) Complete and execute for and on behalf of the undersigned, in the undersigned's capacity as an officer, director and/or ten percent (10%) shareholder of bluebird bio, Inc., a Delaware corporation (the "Company") any and all instruments, certificates and documents required to be executed on behalf of the undersigned as an individual or on behalf of the undersigned's company or partnership, as the case may be, pursuant to Section 13 and Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or the rules and regulations thereunder;

(2) Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such instruments, certificates or documents required to be filed pursuant to Sections 13 and 16 of the Exchange Act or the rules or regulations thereunder and timely file such forms with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) Take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by any such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact, acting singly, full power and authority to do and perform any and every act which is necessary, proper or desirable to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that any such attorney-in-fact, or any such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted.

The undersigned acknowledges that each of the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 13 or Section 16 of the Exchange Act or the rules or regulations thereunder. The undersigned hereby agrees to indemnify each attorney-in-fact and the Company from and against any demand, damage, loss, cost or expense arising from any false or misleading information provided by the undersigned to such attorney-in fact.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file any instruments, certificates and documents pursuant to Section 13 and 16 of the Exchange Act or the rules or regulations thereunder with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of January 11, 2021.

/s/ Andrew Obenshain Andrew Obenshain